



# Managing and Measuring

*A Review of the Airport Improvement Program  
(AIP)  
& Performance Management*

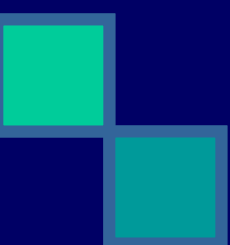



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ASW Partnership Conference 2005






# Today's Objectives

- 
- AIP Overview
  - Trends and Areas of Interest
  - Opportunities for Change
  - Informed Debate, Q & A
- 





# AIP Overview

- FY-05 Funding: \$3.4B (vs. \$3.3 in FY-04)
  - As of March 7:
    - 66% total appropriation programmed
    - 93% discretionary programmed
  - Program through FY-07, discussions starting for shape, size of new program.
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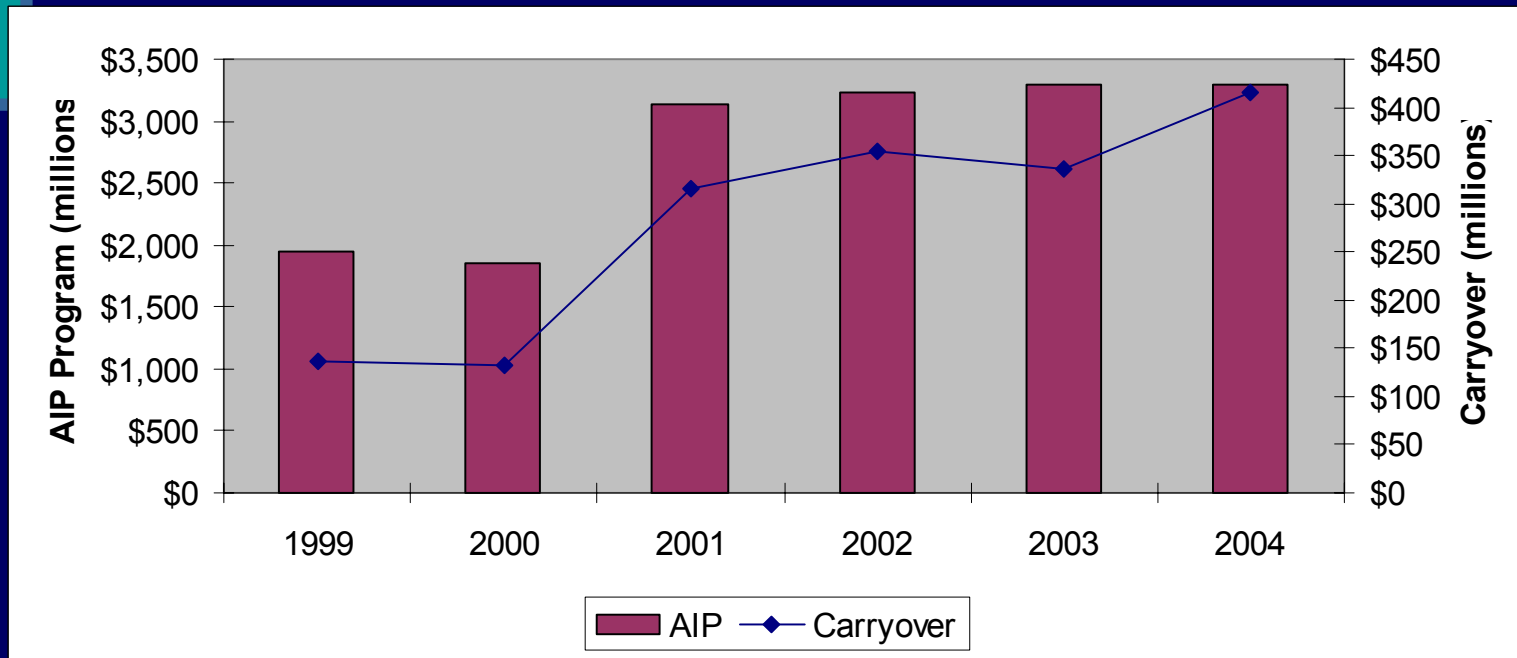


# Trends and Interests

- Increasing Carryover Levels
    - FY-04 Carryover at record \$417M
- 



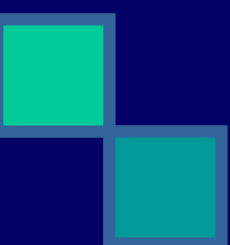

# Carryover vs. Program (FY1999-2004)







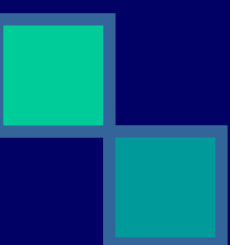

# Trends and Interests (cont.)

- 
- Increasing Carryover Levels
    - FY-04 Carryover - Record \$417M
    - Impacts of Carryover
    - Key Findings of Carryover Review
    - Reasons for Carryover
- 





# Trends and Interests (cont.)

- 
- Regional Discretionary Allocations
    - Initial allotments based upon activity
    - Less direct relationship to need
    - Less consideration to National priorities
    - Result: Perception of disparity between Regions
- 





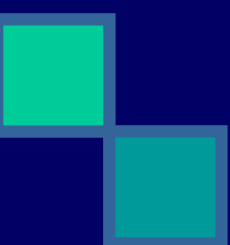

# Discretionary Allot

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# Optimal Objective

- 
- Allotments based *nationally* upon similar requirements
  - Result: *All* similar priority projects funded
- 





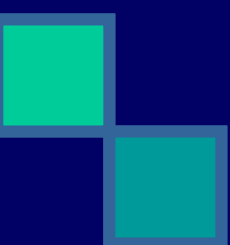

# Benefits of Objective

- Nationally, high priority projects are addressed early-on and concurrently
  - Minimizes disparities between Regions
  - Incentive Regions/Sponsors to minimize carryover, be ready to go
  - Transparent Approach - Project priorities are open and understood
- 





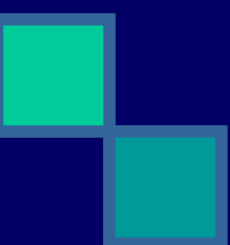

# Trends and Interests (cont.)

- 
- ACIP Process
    - Currency of information
    - Sponsor ability (or not) to proceed
    - Scope creep
- 





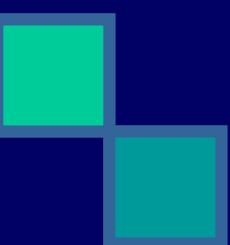

# Opportunities for Change

- 
- Managing for Performance
    - Reasons for interest
    - Defining the objectives
    - Measuring results
- 






# Managing for Performance

- 
- Reason for Interest
    - Most effective use of funding
    - Identify superior performance, areas of concern
    - Focus on National objectives
    - Administration's "Performance Budgeting"
      - Program Assessment Rating Tool (PART)
- 






# Program Assessment Rating Tool (PART)

- Aspects of Performance
    - Purpose / Planning / Management / Results
    - AIP Scoring = 80% / 71% / 64% / 80%
  - Scores
    - Effective / Moderately Effective / Adequate / Ineffective
    - AIP = "Adequate"
- 





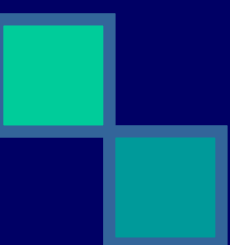

# Program Assessment Rating Tool (PART)

- Administration is serious about Performance Reviews
  - 399 Programs evaluated ("PARTed")
  - Correlation to Budget Decisions
    - "Effective": +7.2%
    - "Moderately Effective": +8.3%
    - "Adequate": + 1.7%
    - "Ineffective": -38%
- 





# Managing for Performance

- 
- Defining the Objectives
    - Minimize idle grants funds
    - Accelerate development
    - Focus on National priorities
- 





# Managing for Performance

- Minimize Idle Grants Funds
    - Based on Bid
    - Closeouts
- 



# Goal: Issue grants based on bids

Actual:

Actual Applicable Grants (Construction and Equipment) Issued as of													
Region	Oct	Nov	Dec	Jan	Feb	March	April	May	June	July	Aug	Sept	Region Total
XA	0	0	0	0	0								0
XB	0	0	0	0	1								1
XC	0	0	0	0	0								0
XD	0	0	0	0	0								0
XE	0	0	0	0	2								2
XF	0	0	0	0	3								3
XG	0	0	0	0	1								1
XH	0	0	0	0	0								0
XI	0	0	0	1	1								2
Mo. Total	0	0	0	1	8	0	0	0	0	0	0	0	
Cumul. Total:	0	0	0	1	9	9	9	9	9	9	9	9	

Applicable Grants Based on Bids as of													
Region	Oct	Nov	Dec	Jan	Feb	March	April	May	June	July	Aug	Sept	% of Region Total
XA	0	0	0	0	0								0%
XB	0	0	0	0	1								0%
XC	0	0	0	0	0								0%
XE	0	0	0	0	0								0%
XD	0	0	0	0	2								0%
XF	0	0	0	0	3								0%
XG	0	0	0	0	1								0%
XH	0	0	0	0	0								0%
XI	0	0	0	1	1								100%
Mo. Total	0	0	0	1	8	0	0	0	0	0	0	0	
Cumul. Total:	0	0	0	1	9	9	9	9	9	9	9	9	

Goal:

% of Cumul. Total	Oct	Nov	Dec	Jan	Feb	March	April	May	June	July	Aug	Sept
	N/A	N/A	N/A	100%	100%							



**Goal: Close out grants (except those covered by special circumstances) issued for FY 2001 & prior years**

**Goal:**

Region	(Actual)	Regional Interim Goals as of											Annual Goal
	10/1/04	11/30/04	12/31/04	1/31/05	2/28/05	3/31/05	4/30/05	5/31/05	6/30/05	7/31/05	8/31/05	9/30/05	
XA	44	44	44	44	39	39	39	26	26	26	14	2	
XB	31	30	28	26	24	22	20	17	14	10	6	2	
XC	246	221	197	172	148	123	98	74	49	25	12	12	
XD	107	102	97	97	69	69	69	42	42	42	1	1	
XE	41	37	35	32	28	25	23	22	21	14	8	2	
XF	34	28	27	26	24	22	20	17	14	10	5	0	
XG	109	99	94	89	84	79	69	59	49	39	29	5	
XH	79	79	79	60	59	59	59	49	49	49	29	4	
XI	184	184	172	172	143	143	143	77	77	77	9	9	
<b>Total</b>	<b>875</b>	<b>824</b>	<b>773</b>	<b>718</b>	<b>618</b>	<b>581</b>	<b>540</b>	<b>383</b>	<b>341</b>	<b>292</b>	<b>113</b>	<b>37</b>	

**Actual:**

Region	Actual as of											
	10/1/04	11/30/04	12/31/04	1/31/05	2/28/05	3/31/05	4/30/05	5/31/05	6/30/05	7/31/05	8/31/05	9/30/05
XA	44	44	44	44	39							
XB	31	31	26	22	20							
XC	246	228	195	154	146							
XD	107	102	96	90	85							
XE	41	36	34	33	31							
XF	34	28	27	26	24							
XG	109	102	96	88	79							
XH	79	79	75	59	58							
XI	184	187	172	164	155							
<b>Total</b>	<b>875</b>	<b>837</b>	<b>765</b>	<b>680</b>	<b>637</b>							





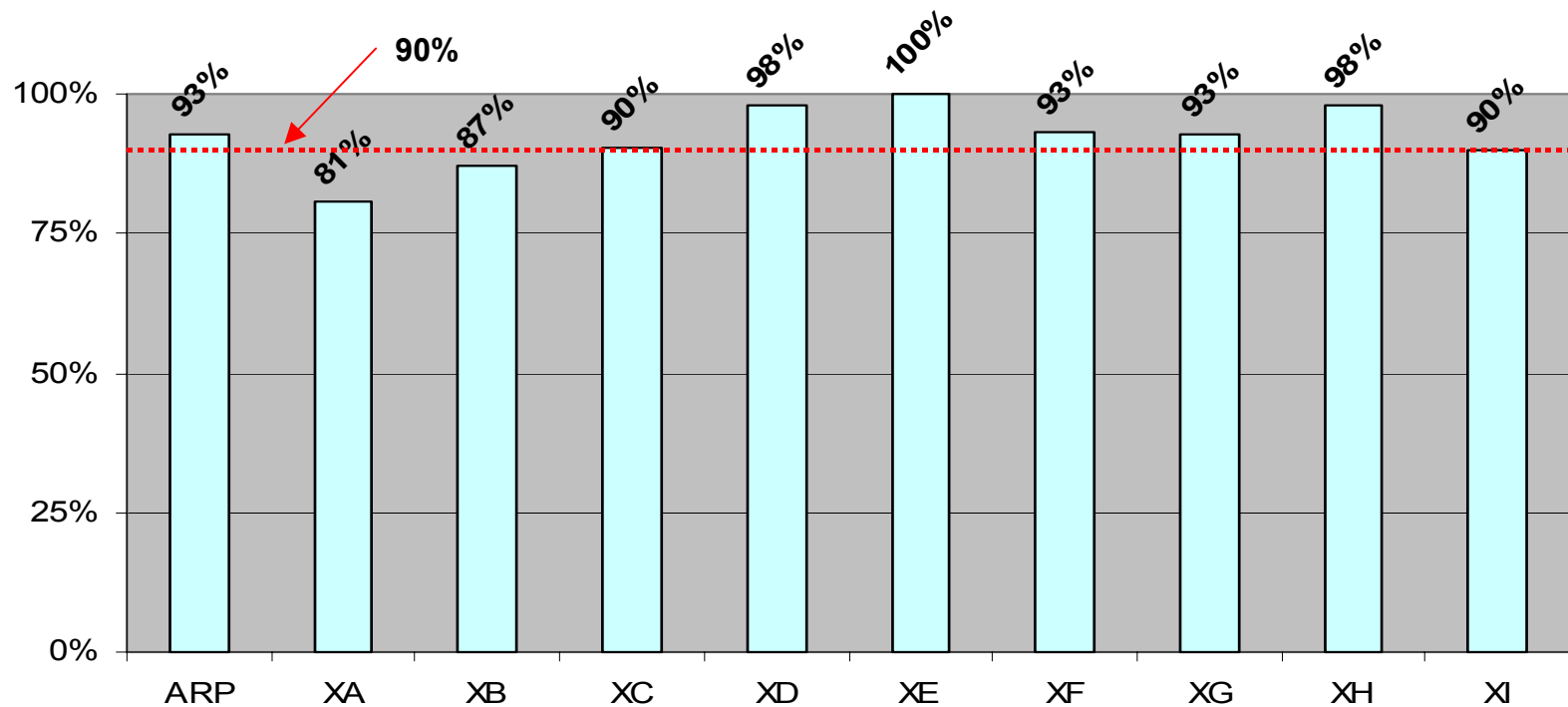
# Managing for Performance

- Accelerate Development
    - Release of funds
    - Inactive grants
- 



**Goal: Submit for OST approval, AIP discretionary funds within 60 days after funds are available to regions**

**Allocated Discretionary Funds with Regional Office Approval**  
Percent





**Goal: No grants will be inactive for 18 months or more**

**Goal:**

Actual as of Region 10/1/04	Interim Goals as of											Annual Goal 9/30/05
	11/30/04	12/31/04	1/31/05	2/28/05	3/31/05	4/30/05	5/31/05	6/30/05	7/31/05	8/31/05		
Goal %	90%	80%	70%	60%	50%	40%	30%	20%	10%	0%		0%
XA 41	37	33	29	25	21	16	12	8	4	0		0
XB 105	95	84	74	63	53	42	32	21	11	0		0
XC 375	338	300	263	225	188	150	113	75	38	0		0
XD 194	175	155	136	116	97	78	58	39	19	0		0
XE 66	59	53	46	40	33	26	20	13	7	0		0
XF 77	69	62	54	46	39	31	23	15	8	0		0
XG 228	205	182	160	137	114	91	68	46	23	0		0
XH 158	142	126	111	95	79	63	47	32	16	0		0
XI 265	239	212	186	159	133	106	80	53	27	0		0
<b>Total</b>	<b>1,509</b>	<b>1,358</b>	<b>1,207</b>	<b>1,056</b>	<b>905</b>	<b>755</b>	<b>604</b>	<b>453</b>	<b>302</b>	<b>151</b>	<b>0</b>	<b>0</b>

**Actual:**

Actual as of												
Region	10/1/04	11/30/04	12/31/04	1/31/05	2/28/05	3/31/05	4/30/05	5/31/05	6/30/05	7/31/05	8/31/05	9/30/05
XA	41	36	33	32	14							
XB	105	86	77	71	57							
XC	375	331	272	233	207							
XD	194	139	110	89	75							
XE	66	57	53	48	41							
XF	77	67	47	37	29							
XG	228	187	166	153	134							
XH	158	134	105	74	64							
XI	265	238	205	170	144							
Total	1,509	1,275	1,068	907	765	-	-	-	-	-	-	-





# Managing for Performance

- Focus on National Priorities
    - RSAs
    - Noise benefits
- 
- 







**Goal: Ensure that 20,000 residents & school students in DNL 65dB or greater benefit from AIP projects by 9/15/05**

**Goal: 20,000 people benefited annually**

<i>No. of residents/student by month to benefit from noise grants issued</i>												<b>Region</b>	
<b>Region</b>	<b>Nov</b>	<b>Dec</b>	<b>Jan</b>	<b>Feb</b>	<b>March</b>	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>Aug</b>	<b>Sept</b>	<b>Est. Benefit</b>	<b>% of Region Est. Benefit</b>
XA	0	0	0	33	66	99	132	165	198	0	0	220	1.0%
XB	0	0	0	133	266	399	532	665	798	0	0	869	3.8%
XC	0	0	0	567	1,134	1,701	2,268	2,835	3,402	0	0	3,925	17.2%
XD	0	0	0	533	1,066	1,599	2,132	2,665	3,199	0	0	3,645	16.0%
XE	0	0	0	433	866	1,299	1,732	2,165	2,598	0	0	2,900	12.7%
XF	0	0	0	67	134	201	268	335	402	0	0	459	2.0%
XG	0	0	0	667	1,334	2,001	2,668	3,335	4,003	0	0	4,670	20.5%
XH	0	0	0	167	334	501	668	835	1,002	0	0	1,139	5.0%
XI	0	0	0	733	1,466	2,199	2,932	3,665	4,398	0	0	4,940	21.7%
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,333</b>	<b>6,666</b>	<b>9,999</b>	<b>13,332</b>	<b>16,665</b>	<b>20,000</b>	<b>0</b>	<b>0</b>	<b>22,767</b>	<b>100%</b>

**Actual:**

<i>No. of residents and student benefiting from noise grants by 9/30/05 as of</i>											
<b>Region</b>	<b>Nov</b>	<b>Dec</b>	<b>Jan</b>	<b>Feb</b>	<b>March</b>	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>Aug</b>	<b>Sept</b>
XA	0	0	0	0							
XB	0	0	0	145							
XC	0	0	0	560							
XD	0	0	1,055	1,355							
XE	0	0	0	300							
XF	0	0	0	300							
XG	0	0	6,344	6,644							
XH	0	0	0	300							
XI	0	0	0	840							
<b>Total</b>	<b>0</b>	<b>0</b>	<b>7,399</b>	<b>7,699</b>							





# Closing

- 
- Comments, Questions?

